

CONGRUENCE MODULO 8 FOR REAL ALGEBRAIC CURVES OF DEGREE 9

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1. Introduction and statement of the result. Let A be a non-singular real algebraic curve of degree m in \mathbf{RP}^2 . Its connected components are embedded circles. Those of them whose complement in \mathbf{RP}^2 is not connected are called *ovals*. One says that an oval u lies *inside* an oval v if u is contained in the orientable component of the complement of v . A union of d ovals v_1, \dots, v_d such that v_i is inside v_{i+1} , $1 \leq i < d$, is called a *nest of the depth d* . An oval is called *exterior* if it does not lie inside any other oval; an oval is called *empty* if there is no other ovals inside it. An oval is called *even* if it is contained inside an even number of other ovals, and *odd* otherwise. Denote by p and n the number of even and odd ovals respectively. One says that A is an *M -curve* if it has the maximal possible number of connected components which equals $M(m) = (m - 1)(m - 2)/2 + 1$. If A has $M(m) - i$ connected components then it is called an *$(M - i)$ -curve*. Let \mathbf{CA} be the complexification of A . If $\mathbf{CA} \setminus A$ is not connected, A is a curve of *type I*; if $\mathbf{CA} \setminus A$ is connected then A is a curve of *type II*.

For curves of an even degree $m = 2k$, in some cases, the difference $p - n$ satisfies congruences. For example,

Gudkov-Rohlin congruence $p - n \equiv k^2 \pmod{8}$ for M -curves,

Gudkov-Krahmov-Kharlamov congruence $p - n \equiv k^2 \pm 1 \pmod{8}$ for $(M - 1)$ -curves,

Kharlamov-Marin congruence $p - n \not\equiv k^2 + 4 \pmod{8}$ for M -curves of type II, and

Arnold congruence $p - n \equiv k^2 \pmod{4}$ for curves of type I.

These statements do not extend to curves of *odd* degrees. So, for an M -curve of any odd degree $2k + 1$ with $k \geq 3$, the residue $p - n \pmod{8}$ may take any values congruent to $k \pmod{2}$. As far as we know, the following theorem is the first result of this kind.

Theorem 1. *Let A be a curve of degree $m = 2k + 1 = 4d + 1$ which has 4 pairwise distinct nests of the depth d . Then*

$$\begin{aligned}
 &\text{if } A \text{ is an } M\text{-curve then} && p - n \equiv -k && \pmod{8}; && (1) \\
 &\text{if } A \text{ is an } (M - 1)\text{-curve then} && p - n \equiv -k \pm 1 && \pmod{8}; \\
 &\text{if } A \text{ is an } (M - 2)\text{-curve of type II then} && p - n \not\equiv -k + 4 && \pmod{8}; \\
 &\text{if } A \text{ is a curve of type I then} && p - n \equiv -k && \pmod{4};
 \end{aligned}$$

It is clear that (1) for $d = 2$ is equivalent to the fact that the number of exterior empty ovals of an M -curve of degree 9 with 4 nests is divisible by 4. This was conjectured by Korchagin [2]. Theorem 1 is obtained below (see Sect. 4) as a consequence of Kharlamov-Viro congruence [1] which generalizes the classical congruences to the case of singular curves of even degrees.

Typeset by $\mathcal{A}\mathcal{M}\mathcal{S}$ - $\mathcal{T}\mathcal{E}\mathcal{X}$

2. Brown - van der Blij invariant. By a *quadratic space* we mean a triple (V, \circ, q) composed of a vector space V over the field \mathbf{Z}_2 , a bilinear form $V \times V \rightarrow \mathbf{Z}_2$, $(x, y) \mapsto x \circ y$, and a function $q : V \mapsto \mathbf{Z}_4$ which is quadratic with respect to \circ in the sense that $q(x + y) = q(x) + q(y) + 2x \circ y$. A quadratic space is determined by its *Gram matrix* with respect to a base e_1, \dots, e_n of V , i.e. the matrix $Q = (q_{ij})$ where $q_{ii} = q(e_i)$ and $q_{ij} = e_i \circ e_j$ for $i \neq j$ (the diagonal entries are defined mod 4, the others mod 2; note that $q(x) \equiv x \circ x \pmod{2}$). It is easy to see that by elementary changes of the base, one can put the Gram matrix to the block-diagonal form $\text{diag}(d_1, \dots, d_t) \oplus Q_1 \oplus \dots \oplus Q_s$ where each block Q_i is either $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, or $\begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$. If all $d_i \neq 2$, we say that the form q is *informative* and in this case we define its *Brown - van der Blij invariant* $B(q) = \sum B(d_i) + \sum B(Q_i) \pmod{8}$ where $B(0) = 0$, $B(1) = 1$, $B(-1) = -1$, $B\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = 0$, and $B\begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} = 4$.

3. Kharlamov-Viro congruence for nodal curves. Let A be a curve in \mathbf{RP}^2 of degree $2k$ defined by $f = 0$ and let each of its singular points be the point of transverse intersection of two smooth real local branches. A is called an *M-curve* (a curve of type I) if the normalization of any its irreducible component is an *M-curve* (a curve of type I). A curve which is not of type I, is of type II. Let x_1, \dots, x_s be the singular points and Γ_A be the union of the connected components of A passing through them. Let $b = 0$ if $\mathbf{RP}_+^2 = \{f \geq 0\}$ is contractible in \mathbf{RP}^2 and $b = (-1)^k$ otherwise.

Suppose that Γ_A is connected. Let us define a quadratic space (V, \circ, q) as follows. Let C_1, \dots, C_r be the oriented components of $\mathbf{RP}^2 \setminus \Gamma_A$ on which $f > 0$ near Γ_A . Let (V_0, \circ, q_0) be the quadratic space with the orthogonal base e_1, \dots, e_s such that $q_0(e_1) = \dots = q_0(e_s) = -1$. Set $c_i = \sum_{j \in \alpha_i} e_j$ where $\{x_j\}_{j \in \alpha_i}$ are the singular points through which ∂C_i passes only once. In the cases when either Γ_A is contractible in \mathbf{RP}^2 or, as in Sect. 4, there is a *branch of Γ_A* (i.e. a smoothly immersed circle) which is non-contractible in \mathbf{RP}^2 , we define $V \subset V_0$ as the subset generated by c_1, \dots, c_r and we set $q = q_0|_V$.

In the case when Γ_A is not contractible in \mathbf{RP}^2 but all its branches are, let us choose a simple closed curve in Γ_A which is not contractible in \mathbf{RP}^2 . Let (V'_0, \circ, q'_0) be the quadratic space with the base (e_0, \dots, e_s) which contains V_0 as a quadratic subspace ($q'_0|_{V_0} = q_0$) and let $q'_0(e_0) = (-1)^k$, $e_0 \circ e_j = 0$ iff $L \sim 0$ in $H_1(\mathbf{RP}_+^2, \mathbf{RP}_+^2 \setminus x_j)$. Let $V \subset V'_0$ be the subspace generated by c_1, \dots, c_r , and $e_0 + \sum_{j \in \alpha_0} e_j$ where $\alpha_0 = \{j \mid L \not\sim 0 \text{ in } H_1(\mathbf{RP}_-^2, \mathbf{RP}_-^2 \setminus x_j)\}$, and let $q = q'_0|_V$.

If Γ_A is not connected, we define (V, \circ, q) as the direct sum of quadratic spaces associated as above to each connected component of Γ_A .

Theorem 2. *Suppose that each branch of A which is contractible in \mathbf{RP}^2 cuts other branches at $n \equiv 0 \pmod{4}$ singular points and each branch which is not contractible in \mathbf{RP}^2 , at $n \equiv (-1)^{k+1} \pmod{4}$ singular points. If A is an M-curve then $\chi(\mathbf{RP}_+^2) \equiv k^2 + B(q) + b \pmod{8}$ and also the corresponding analogues of Gudkov-Kharlamov-Kharlamov, Kharlamov-Marin, and Arnold congruences take place.*

Theorem 2 is a corollary of Theorem (3.B) on curves with arbitrary singularities from the paper by Kharlamov and Viro [1]. Theorem 2 is formulated here because there are mistakes in [1] in the discussion of the corresponding particular case (4.I), (4.J) of Theorem (3.B).

4 Proof of Theorem 1. Let us choose any three pairwise distinct nests of the depth d and a point inside the innermost oval of each of them. Theorem 1 follows from Theorem 2 applied to the union of A and the three straight lines passing through the three chosen points. Indeed, the union of the three chosen lines and the non-contractible branch of A divides \mathbf{RP}^2 into 4 triangles and 3 quadrangles (curvilinear). All ovals not belonging to the three chosen nests lie in the quadrangles (otherwise would exist a conic having too many intersections with A). Therefore, after the suitable choice of the sign, one has $\chi(\mathbf{RP}_+^2) = \chi(\bigcup \overline{C}_j) + p' - n'$ where p' and n' are the numbers of even and odd ovals, not belonging to the three chosen nests. $B(q)$ can be computed according to Sect. 2.

REFERENCES

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